



**WORKING TOGETHER
TOWARDS SUSTAINABLE
INVESTMENT
PORTFOLIOS**



ENVIRONMENTAL SUSTAINABILITY QUESTION FRAMEWORK

Name of company:

Sector in which the company operates:

1. ENVIRONMENTAL GOVERNANCE & REGULATORY

1.1 Does your company have an Environmental Policy framework in place?

Yes No

If yes, is it governed at board level?

Yes No

1.2 Does your company develop Environmental Sustainability reports?

Yes No

If yes, are these reports audited?

Yes No

2. WATER CONSUMPTION, MANAGEMENT AND PROCESSES

2.1 Does your company have any specific strategic commitments in place to optimise the direct water usage? (e.g CDP water disclosure compliance; water stewardship programs; CEO water mandates; water usage monitoring practices)

Yes No Comment _____

2.2 Does your company measure its direct total water consumption levels?

Yes No Comment _____

If yes, what is your company's total water consumption (measured in m³ and m³/Co R mill revenue)

m³ and m³ Co R million revenue

2.3 Do you track your year-on-year changes in water consumption?

Yes No

2.4 What percentage (%) of Total Water consumption is recycled/re-used in the company's operations?

% Comment _____

2.5 What percentage (%) of Total Water consumption is discharged back into freshwater or marine bodies (eg. cooling water).

% Comment _____

2.6 Do you require a Water Licence for your business operations?

Yes No

If yes, do you have the required licence(s)?

Yes No

3. SUPPLY CHAIN MANAGEMENT & CONSUMPTION

- 3.1 What portion of raw materials are sourced locally?
 % of total Comment _____
- 3.2 Do you have traceability procedures in place?
 Yes No
- 3.3 Are policies in place to track the usage and processing of raw materials throughout the whole supply chain?
 Yes No
- 3.4 Do you measure your company's consumption of cardboard, plastics, wood & paper usage?
 Yes No

4. WASTE MANAGEMENT, EXPOSURE & POLLUTION

- 4.1 Is your business exposed to the generation, transport or storage of any form of hazardous or toxic waste (incl. radio-active & nuclear)?
 Yes No
If yes, what type of hazardous toxic waste, and how many tonnes?
Type: _____ Tonnes: _____
How is this disposed of? _____
- 4.2 Do you need a permit or licence for the activities mentioned in 4.1 above; if so do you comply with those?
 Yes No Yes No
- 4.3 Do you have a paper policy program to switch from paper to electronic mail and communication?
 Yes No

5. FOSSIL FUEL, ENERGY AND EMISSIONS

- 5.1 What are your company's energy related greenhouse gas emissions (measured in CO₂e) - from exploration, combustion and processing of fossil fuels (only emissions from sources that are controlled and owned by the company/entity).
_____ Mtons CO₂-e
Comment _____
- 5.2 What is the company's Total Energy Consumption (electricity, gas & fuel), measured in MWh?
_____ MWh
Comment _____
- 5.3 Do you track your year-on-year changes in energy emissions?
 Yes No

6. RENEWABLE FORMS OF ENERGY

6.1 What % of your total energy needs are sourced from renewable forms of energy or green technologies (including wind, solar, biomass etc)

% Comment _____

6.2 Do you have a specific target in place in terms of how much green (renewable) technologies you would like to use as a percentage of total energy usage?

Yes No

6.3 If yes, what is it? _____

6.4 Do you have any direct investments in green energy technologies? If, yes, please describe.

Yes No

7. INDUSTRY SPECIFIC : MINING & ENERGY

7.1 What percentage of company revenue comes from fossil fuels whether extraction, production or processing ?

_____ % of Co Revenue

Comment _____

7.2 What percentage of revenues comes from extraction, production or processing of a) Coal b) Oil & Gas?

a) _____ % of Co Revenue b) _____ % of Co Revenue

Comment _____

7.3 Do you have an environmental plan for the decommissioning of your mines?

Yes No

7.4 Do any of your mines show acid mine drainage (AMD) indications?

Yes No